

Law Office of James I. Ham

A Professional Corporation

jham@hamlawoffice.com

www.hamlawoffice.com

655 N. Central Ave, 17th Floor, Glendale, CA 91203 • 818.649.7800

SUMMARY OF EXPERIENCE:

Jim Ham graduated from UCLA Law School, where he served on the UCLA Law Review Board of Editors. In 1981, he joined Kadison, Pfaelzer, Woodard, Quinn & Rossi as an associate. In 1986, he joined a group of Kadison lawyers to form Quinn, Kully and Morrow, a boutique litigation firm. In 1996, he joined the Washington, D.C. law firm of Arnold & Porter, LLP as a partner in its Los Angeles office, where he practiced for ten years, representing Fortune 50 companies, foreign corporations, sovereign governments and others in complex litigation and other legal matters. Mr. Ham then practiced at Pansky Markle Ham, LLP from 2009 until 2017. Mr. Ham provides clients with litigation assistance, and advises attorneys regarding compliance with legal ethics requirements and sound law office management policies. He also prosecutes and defends legal malpractice cases, defends attorneys in disciplinary cases, and provides expert witness testimony on standard of care issues.

For over 30 years, Mr. Ham has assisted attorneys with legal ethics issues. During his tenure at Arnold & Porter LLP, he served on the law firm's Loss Prevention and Ethics Committees, which handled all the firm's ethical and professional responsibility compliance obligations. In January, 2015, he was appointed to the California State Bar Commission for the Revision of the Rules of Professional Conduct. He previously served a term on the State Bar of California's Standing Committee on Professional Responsibility and Conduct, and has been 30 plus-year member, and twice chair, of the Los Angeles County Bar Association's Professional Responsibility and Ethics Committee. He is a member of the American Bar Association's Center for Professional Responsibility and has participated on other American Bar Association committees focused on professional responsibility and legal ethics. He is an active member of the Association of Professional Responsibility Lawyers (APRL), currently serving as Chair of APRL's Public Statements Committee and was its liaison to the American Bar Association's Standing Committee on Professional Discipline for several years. He also served on the Editorial Advisory Board of Law 360 in the Legal Ethics field.

For seven years Mr. Ham taught professional responsibility as lecturer at law at the Gould School of Law, University of Southern California. He also served as a member of the Board of Trustees of California Indian Legal Services and was recently named co-chair of its new Advisory Board. He served as President of the Ostrich Farm Owners Association, a 53 unit work-live condominium project in South Pasadena from 2010 to 2013. He holds a Martindale Hubbell Peer Review rating of AV, and has been named a Southern California Super Lawyer for each of the years 2006, through 2016. Mr. Ham is licensed to practice law in California and in Texas, and is a member of the bar of the United States Supreme Court, the Ninth Circuit Court of Appeal and the United States District Courts for the Central, Northern and Southern Districts of California.

PRACTICE AND EMPLOYMENT HISTORY:

Law Office of James I. Ham
A Professional Corporation
Shareholder, December 2017 – Present

Practice focused on legal ethics compliance, state bar disciplinary defense and attorney admissions, the law of lawyering, and expert testimony regarding professional responsibility and ethics. Representation of clients in negotiation, civil litigation, regulatory and administrative proceedings.

Pansky Markle Ham LLP
Partner, January 2009 – December 2017

Practice focused on legal ethics compliance, state bar disciplinary defense and attorney admissions, the law of lawyering, and expert testimony regarding professional responsibility and ethics. Representation of clients in negotiation, civil litigation, regulatory and administrative proceedings.

Member, Board of Trustees
Friends of the Los Angeles County Law Library
2016 - Present

Law 360
Editorial Advisory Board (Legal Ethics)
February 2016 – February 2018

Commissioner
State Bar of California Commission for the Revision of the Rules of
Professional Conduct
January 2015 – March 2017.

Lecturer in Law
University of Southern California, Gould School of Law
2008 – 2014.

Member, Board of Trustees
California Indian Legal Services
(December 2008 – December 2015).

Panelist, Attorney Settlement Officer Panel
United States District Court for the Central District of California
2005 – 2013

James Ham Legal
Principal
January 2007 – December 2008

Practice focused on legal ethics compliance, state bar disciplinary defense and attorney admissions, the law of lawyering, and expert testimony regarding professional responsibility and ethics. Representation of clients in negotiation, civil litigation, regulatory and administrative proceedings.

Of Counsel to Pansky & Markle, 2007 – 2008

Arnold & Porter LLP, Los Angeles Office
Equity Partner
October, 1996 – December, 2006
Member, Ethics & Practice Committee, Loss Prevention Committee, Associate Relations Committee, and Information Technology Sub-Committee

Quinn, Kully and Morrow, A Professional Law Corp., Los Angeles
Principal (July, 1988 - September, 1996)
Associate (July, 1987 - June, 1988)

Kadison, Pfaelzer, Woodard, Quinn and Rossi, Los Angeles
Associate
October, 1981 – July, 1987

EDUCATION:

UCLA School of Law, J.D., June, 1981
Executive Editor, *UCLA Law Review*, Volume 28
Member, *UCLA Law Review*, Volume 27

Southwestern University School of Law, 1978-79
First in Class of 392

University of Southern California, A.B., 1978:
Magna Cum Laude
Phi Kappa Phi

Fresno State, 1974-75

PROFESSIONAL ASSOCIATIONS:

Member, State Bar of California (1981 -- present). State Bar No. 100849.

Admitted to practice in the United States Supreme Court, in all California state courts; the United States Court of Appeal (Ninth Circuit); and United States District Courts for the Central, Southern, Eastern and Northern Districts of California.

Member, Law Practice Management Section
Member, Litigation Section
Member, Solo & Small Firm Section

Member, State Bar of California Standing Committee on Professional Responsibility and Conduct. (Appointed, Oct. 1987; Term Expired, Sept. 1990).

Member, Los Angeles County Bar Association (1981 -- present)

Board of Trustees

Member, July 2009 – June 2010

Board Liaison to ABA Commission on Ethics 20/20

Professional Responsibility and Ethics Committee

Member: 1985 – present; Chair: 2009-2010; Vice Chair: 2008-2009; Secretary: 2007-2008; Chair: 1988-1989; Secretary: 1987-1988

Member, ABA Ethics 2000 Sub-Committee (1998-2000)

Committee on Evaluation of Professional Standards

Member: 1989-2000

Member, State Bar of Texas (October 2018 – present). State Bar No. 24109309

Member, Dallas Bar Association (November 2018- present)

Legal Ethics Committee of the Dallas Bar Association

Member: 2019

Fee Disputes Committee of the Dallas Bar Association

Member: 2019

Member, American Bar Association (1981 -- present)

Section of Litigation (1991 – 2018)

Member, Ethics and Professionalism Committee
Member, Professional Liability Litigation Committee

Section of Business Law (2006 – 2018)

Member, Firm Counsel Project
Member, Professional Responsibility Committee

Law Practice Management Section (2007 – 2018)

Practice Marketing, Practice Management and Practice Technology
Core Groups

The Center for Professional Responsibility (2007 – present)

Member, Association of Professional Responsibility Lawyers (2007 – present)

APRL Liaison to ABA Standing Committee on Professional Discipline
(2012 – 2016)

Chair (2015-2018) and Member (2014-present), Public Statements
Committee

Member, Association of Discipline Defense Counsel

First Vice President (2010-2012)

Member, Association of International Petroleum Negotiators (2005 – Present).

Delegate, AIPN Model Contracts Workshop, Artimino, Italy, July 2005 and
July 2006.

SELECTED SPEAKING ENGAGEMENTS:

Panel Moderator, Mandatory Lawyer Liability Insurance – The Listserv Debate
Continued, Association of Professional Responsibility Lawyers, January 25,
2019, Las Vegas, Nevada

Speaker, California's New Rules of Professional Conduct, Things to Know, Antelope Valley Bar Association, November 27, 2018, Lancaster, California

Speaker, Ethics Changes in State Bar Rules that you Need to Know, CAOC 57th Annual Convention, scheduled November 17, 2018, San Francisco, California

Speaker, Practical Ethics – Spotting Conflicts and Privilege Issues in Practice, California Lawyers Association Annual Meeting, September 14, 2018, San Diego, California

Speaker, California Rules of Professional Conduct: What the 2018 Rule Update Means to You, California Lawyers Assn. Solo & Small Firm Section Webinar, June 7, 2018

Speaker, Staying Inside the Lines, Legal Ethics in Immigration Law, Los Angeles County Bar Association Immigration Section, May 19, 2018, Los Angeles, California

Speaker, Lawyers' Duty to Supervise Nonlawyers (with Professor Laurie L. Levenson), Medial Law Resource Center, Century City, California

Speaker, Conflicts and Cybersecurity, Pasadena Bar Association, March 22, 2018, Pasadena, California

Speaker, Conflicts, Forbidden Sins and Pilfered Evidence, Ventura County Bar Association, February 21, 2018, Ventura California

Speaker, Ethics and Cybersecurity: The New Frontier, Santa Clarita Valley Bar Association, February 15, 2018, Valencia, California

Speaker, The Adjudicator's Perspective, National Organization of Bar Counsel Mid-Year Meeting, January 22, 2018, Vancouver, Canada

Speaker, Legal Ethics in California: Recent Developments and The Anatomy of a State Bar Investigation, January 18, 2018, Tulare County Bar Association, Tulare, California

Speaker, Practicing Law in Today's Regulatory Environment: Fee Agreements and Other Hot Ethics Topics, San Fernando Valley Bar Association MCLE Marathon, January 12, 2018, Tarzana, California

Speaker, Legal Ethics Issues Associated with the Use of Social Media in the Practice of Law, January 10, 2018, Encino Lawyers Association, Encino, California

Panelist, Lawyers' Duty to Supervise Nonlawyers, with Professor Laurie Levenson, Medial Law Resource Center, December 19, 2017, Century City, California

Speaker, Pilfered Evidence, Secret Recordings and Handling Problematic Evidence, Pasadena Bar Association Family Law Section, November 28, 2017

Speaker, Conflicts, Forbidden Sins and Pilfered Evidence, South Bay Bar Association, November 15, 2017, Torrance, California

Speaker, Legal Ethics and Lawyer Regulation in the 21st Century: Successfully Managing a Multi-State, Multi-Client Practice in a Highly Regulated Profession, Institute for Professionals in Taxation, Property Tax Symposium, November 8, 2017, Indian Wells, California

Panelist, No You Didn't: Recognizing the Difference Between a Mistake and Malpractice, Solo and Small Firm Section, California State Bar, San Bernardino County Bar Association, San Bernardino, August 22, 2017.

Panelist, Marijuana Is Legal In California: Now What? A Discussion of Ethics, Business and Other Issues for You and Your Practice, Association of Business Trial Lawyers, Los Angeles, March 21, 2017.

Panelist, Ethics – All You Need to Know, "Discrimination as Misconduct: The New Hot Button," Los Angeles County Bar Association, January 14, 2017, Los Angeles, CA

Panelist, Give'm an Inch ..., Association of Professional Responsibility Lawyers, 2016 Annual Conference, August 5, 2016, San Francisco, Ca.

Panelist, Doing Business with Your Client: The Problems, Pitfalls and Issues in Lawyer-Client Transactions, Beverly Hills Bar Association, Southern California Business Litigation Inn of Court, March 3, 2016, Los Angeles, Ca.

Speaker, Resolutions for a New Year: Enjoying and Ethical and Profitable Law Practice, Burbank Bar Association, January 20, 2016, Burbank, Ca.

Panelist, 2015 Trusts and Estates Symposium: Ethical Considerations for the Probate Bar and Trusty Contest Strategies, Los Angeles County Bar Association, September 25, 2015, Los Angeles, Ca.

Panelist, Legal Ethics, Recognizing and Dealing with Conflicts of Interest, Friday, September 4, 2015, Consumer Attorneys' Association of Los Angeles, Las Vegas, Nevada

Speaker, Ethics presentation regarding the ethics of social media, client trust accounting, and recent events in legal ethics, for the law firm of Knapp Peterson & Clarke, August 5, 2015, Glendale, California.

Panelist, UPL and MJP: The Times, They Are A Changin' – Does It Really Matter Where a Lawyer Sits?, with George R. Clark, Washington, D.C. (Moderator) and James C. Coyle, Attorney Regulation Counsel, Colorado Supreme Court, Denver, Co., February 6, 2015, APRL 2015 Conference, Houston, Texas

Speaker, Advertising, Social Media, Trust Accounts And the State Bar – What You Need To Know, Burbank Bar Association, September 17, 2014.

Panelist, Non-Traditional Ethics Considerations For The Attorney In The Internet Age (with Ian C. Ballon, Hon. Kevin C. Brazile and Sarah E. Luppen), Los Angeles County Bar Association, April 22, 2014.

Panelist, Marketing Insights to Help Expand Your Law Firm, Roundtable Discussion (with Brad Simon, Scott Corwin and Shawn McCann), Consumer Attorneys Association of Los Angeles, September 24, 2013.

ABA Review of Disciplinary Rules of Procedure, Association of Professional Responsibility Lawyers, Dallas, Texas, February 8, 2013.

“Recent Trends and Developments in Legal Ethics,” Burbank Bar Association, November 14, 2012.

“Legal Ethics for the Litigator,” Central Coast Trial Lawyers Association, October 23, 2012

Ethics Panelist, Public Relations & Reputation Management for Mid-to-Small Sized Law Firms, Presented by the Legal Marketing Association (Los Angeles) and the Daily Journal, May 31, 2012, Century City.

Panelist, “Conflicts and Choice of Law Updates,” Association of Professional Responsibility Lawyers, Sixth International Meeting, Istanbul, Turkey, May 4, 2012.

Strategies to Address Elimination of Bias in the Legal Profession and Identification and Techniques to Address Substance Abuse and Mental Health Issues, MCLE presentation to Stutman, Treister & Glatt, PC, Ojai Valley Inn & Spa, June 25, 2011.

Ethics: All You Need to Know, Los Angeles County Bar Association, January 29, 2011

An Era of Change in the Legal Profession, Everything You Wanted to Ask About Ethics, San Luis Bar Association, November 18, 2010, San Luis Obispo, California

Retirement 101 – Happy Times are Here Again: Ethical and Practical Advice for Winding Down or Retiring from the Practice of Law, November 17, 2010, Los Angeles County Bar Association, Senior Lawyers Section, Los Angeles, California

Representing, as an Advocate, the Disabled Client in Protective Proceedings, Aviva K. Bobb Advanced PVP Attorney Training Symposium, October 23, 2010, Santa Monica, California.

Identifying and Resolving Conflicts of Interest, Presentation at Stutman, Treister & Glatt, P.C. Annual Retreat, Ojai, California, June 13, 2009.

Settlement and Approval of Class Actions, Consumer Attorneys of California and Consumer Attorneys Association of Los Angeles Second Annual Class Action Seminar, June 4, 2009, Los Angeles, California

The Good, The Bad And The Unethical: 21st Century Ethical Issues, January 24, 2009, Intellectual Property and Entertainment Law Section, Los Angeles County Bar, 32nd Annual Symposium, Santa Monica, California

Ethical Considerations for the Civil Litigator, with Ellen A. Pansky, October 23, 2008, Central Coast Trial Lawyers Association, San Luis Obispo, California.

Proposed Ethics Rule Changes, Recent Developments in Conflicts, and 10 Tips for Operating a More Sound Law Office, June 4, 2008, Pasadena Bar Association, ADR/Litigation Section, Pasadena, California

Off-Shoring Legal Services, May 16, 2008, Association of Professional Responsibility Lawyers, Fifth International Meeting, Amsterdam, The Netherlands

Air Quality Regulation in California, Ethical Issues in the Administrative Law Setting, April 15, 2008, Law Seminars International, Los Angeles, California

“The Road to Renewables,” Energy and Climate Change Symposium, Australian Government Department of Foreign Affairs and Trade, January 18, 2008

Ethical Issues: The Do’s and Don’ts of Criminal Law Practice: Perspectives from the Bench and the Bar, Loyola Law School, Criminal Inns of Court, November 7, 2007

New Developments in Class Action Litigation, Including New Cases in 2007, The Quisenberry Law Firm's Class Action Seminar, September 27, 2007, Irvine, California

Strategies for Managing Civil, Criminal and Ethical Risks in the Large and Medium Size Law Firm
Los Angeles County Bar Association
February 15, 2007

Energy, Environment & Air Quality Policy Forum
Australian Government Department of Foreign Affairs and Trade and UCLA Institute of the Environment
January 12, 2007

Tribal Energy - Southwest
Law Seminars International
April 7-8, 2005

Ethics 2005 -- Keeping Current in a World of Change
Los Angeles County Bar Association
December 10, 2005

Practical Legal Ethics in California: Issues and Answers,
National Business Institute
October 9, 2003, December 9, 2002 & July 12, 2002

Managing Conflicts In and Out of Court
Los Angeles County Bar Association
1998, 1999 & 2000

LEGAL PUBLICATIONS:

"How to Withdraw From a Superior Court Civil Case," Los Angeles County Bar Update, December 2016

"Overview of State Bar Court Procedure," California Litigation, The Journal of the Litigation Section, State Bar of California, Volume 29, Number 2, 2016 (with Ellen A. Pansky, Esq.)

"Is Your Association Lawyer Splitting Fees? What to Ask and What to Know," The Law Journal, Summer 2011, California Association of Community Managers (with Ellen A. Pansky, Esq.)

"LOL (or not): Lawyers, Social Media, and Professional Responsibility," Program materials for Ethics: All You Need to Know, Los Angeles County Bar Association, January 29, 2011 (with Paige Gold, Esq.)

“A Flat Fee Future?” Los Angeles County Bar Update, January 2010 (with Ellen A. Pansky, Esq.)

“Ethical Issues in Class Action Litigation,” Consumer Attorneys of California and Consumer Attorneys Association of Los Angeles Second Annual Class Action Seminar, June 4, 2009

“Resolutions for a New Year: Enjoying an Ethical and Profitable Law Practice,” Los Angeles County Bar Update, January 2009

“Ethical Considerations Relating to Outsourcing of Legal Services by Law Firms to Foreign Service Providers: Perspectives from the United States,” Penn State International Law Review, Volume 27, No. 2, Fall 2008.

“Perspectives from the United States on Legal Ethics Considerations Associated with the Outsourcing of Legal Services by Law Firms to Foreign Service Providers,” Proceedings of the Association of Professional Responsibility Lawyers, Fifth International Meeting, Amsterdam, The Netherlands, May 6, 2008

“Ethical Considerations for Lawyers Handling Air Quality and Climate Change Issues in California,” (with Ellen A. Pansky, Esq.), in *Air Quality Regulation in California*, Law Seminars International, Los Angeles, April 15, 2008

“The Duty to Self-Report Ethical Violations to the State Bar,” Bulletin, San Louis Obispo Bar Association, March 2008

“Ten Golden Rules for Successful (and Ethical) Law Practice,” Los Angeles County Bar Association Update, February 2008; The Bar Bulletin, Fresno County Bar Association, May 2008.

“Efficient Billing: Not in the Cards”, Los Angeles Daily Journal, Forum, June 18, 2007

“Tribes and States Working Together -- What Has to Happen to Succeed,” Law Seminars International, April, 2005.

“New California Law on Gifts to Health Care Providers: Interpretation Challenges and Liability Risks,” Arnold & Porter Client Advisory, November, 2004

“Proposition 64 Modifies California’s Unfair Competition Law,” Arnold & Porter Client Advisory, November, 2004.

Practical Legal Ethics in California: Issues and Answers (with Kurt L. Schmalz), 2002, National Business Institute

“Golden Rules: Ethics Decisions and Opinions of 1995 Address Ethical Minefields,” *Los Angeles Lawyer Magazine*, July – August, 1996

“Significant Changes to Ethics Rules,” Lawyer Referral and Information Service, May, 1989

“Flying High: Baker v. Burbank Glendale Pasadena Airport Authority Redefines the Nuisance Doctrine,” *Los Angeles Lawyer Magazine*, March 1986

The Business Jury Trial, Program Materials Editor, Association of Business Trial Lawyers Eleventh Annual Seminar, October 26 – 28, 1984